Detailed comparison of existing and proposed NDIS Rules

Nominees Rules

The 2021 Nominees Rules repeal and replace the 2013 Nominees Rules.

Existing	provision (2013 Rules)	New provision (2021 Rules)	Nature of the change	Specific changes
Part 1 W	Vhat these Rules are about	Part 1—Preliminary	Replaced – drafting standards	Reflects best drafting practice to remove information
whe be a app and all n part 1.2 The for t	ese Rules are about nominees, and deal with ether a nominee should be appointed, who should appointed as a nominee, the term of the pointment, duties of nominees, and cancellation I suspension of nominees. These Rules apply to nominees, whether appointed at the request of a ticipant or on the initiative of the CEO. The Act sets out a number of objects and principles the NDIS. The following are particularly relevant these Rules:	Name National Disability Insurance Scheme (Nominees) Rules 2021. Commencement (1) Each provision of this instrument specified in column 1 of the table commences, or is taken to have commenced, in accordance with		summarised from the NDIS Act and remove text boxes. • Part 1 of the 2021 Rules includes: 1. The name of the instrument (formerly paragraph 7.1 of the 2013 Rules) 2. Commencement (no equivalent provision in 2013 Rules) 3. Authority (no equivalent provision in 2013 Rules)
Objects	rides raise.	column 2 of the table. Any other statement in column 2 has effect according to its terms.		4. Schedules (no equivalent provision in 2013 Rules)
(a)	to enable people with disability to exercise			5. Definitions (formerly paragraphs 7.2 to 7.5 of the 2013 Rules)
	choice and control in the pursuit of their goals and the planning and delivery of their supports;	Commencement information		
Principles		Column 1 Column 2 Column 3		
(b)	people with disability should be supported to	Provisions Commencement Date/Details		
	exercise choice, including in relation to taking reasonable risks, in the pursuit of their goals and the planning and delivery of their supports;	The later of: whole of this instrument		
(c)	people with disability have the same right as other members of Australian society to be able to determine their own best interests, including the right to exercise choice and control, and to engage as equal partners in decisions that will affect their lives, to the full extent of their capacity;	Scheme Amendment (Participant Service Guarantee and Other Measures) Act 2021 commences; and		
(d)	people with disability should be supported in all their dealings and communications with the Agency so that their capacity to exercise	(b) the day after this instrument is registered.		
	choice and control is maximised in a way that is appropriate to their circumstances and cultural needs;	Note: This table relates only to the provisions of this instrument as originally made. It will not be amended		
(e)	the role of families, carers and other significant persons in the lives of people with disability is to be acknowledged and respected;	to deal with any later amendments of this instrument.		
(f)	where acts or things are done on behalf of persons with disability: (i) they should be involved in decision-making that affects them, including	(2) Any information in column 3 of the table is not part of this instrument. Information may be inserted in this column, or information in it may be edited, in any published version of this instrument.		

Existing provision (2013 Rules)	New provision (2021 Rules)	Nature of the change	Specific changes
making decisions for themselves, to the extent possible; and (ii) they should be encouraged to engage in the life of the community; and (iii) the judgements and decisions they would have made for themselves should be taken into account; and (iv) their cultural and linguistic circumstances, and gender, should be taken into account; and (v) their supportive relationships, friendships and connections with others should be recognised.	This instrument is made under the National Disability Insurance Scheme Act 2013. 4 Schedules Each instrument that is specified in a Schedule to this instrument is amended or repealed as set out in the applicable items in the Schedule concerned, and any other item in a Schedule to this instrument has effect according to its terms.		
Part 2 Outline of these Rules 2.1 People with disability usually do not need a nominee	5 Simplified outline of this instrument		Reflects best drafting practice
 2.1 People with disability usually do not need a nominee for the purposes of the NDIS. Part 3 deals with determining whether it is necessary for a nominee to be appointed. 2.2 Part 4 deals with who should be appointed as a nominee and the term of the appointment. 2.3 Part 5 deals with how nominees are expected to act, and prescribes additional duties of nominees. 2.4 Part 6 deals with suspension and cancellation of appointments of nominees. 2.5 Part 7 deals with other matters, including interpretation of these Rules. 	In some cases, a participant will require another person, known as a nominee, to do certain acts on behalf of the participant under, or for the purposes of, the Act. This instrument prescribes matters relating to nominees. A participant's nominee has a duty under the Act to ascertain the wishes of the participant and to act in a manner that promotes the personal and social wellbeing of the participant. Part 2 of this instrument prescribes additional duties of a nominee of a participant, including the duties to consult certain persons and to develop the participant's capacity to make the participant's own decisions. It also prescribes additional duties of certain plan nominees and nominees that are bodies corporate. A nominee may be appointed under the Act at the request of a participant or on the initiative of the CEO. Part 3 of this instrument prescribes matters relating to the appointment of nominees. It prescribes certain persons who must not be appointed as a nominee. It also prescribes certain matters relevant to the CEO considering whether it is necessary for a nominee to be appointed, deciding who to appoint as a nominee, and whether a term of appointment is to		
Part 3 Whether it is necessary to appoint nominee	be specified. A nominee's appointment may be cancelled or suspended in certain circumstances under the Act. Part 4 of this instrument prescribes matters relating to the cancellation or suspension of nominee appointments. Part 3—Appointing nominees	Replaced – drafting standards	Reflects best drafting practice to remove unnecessary information summarised from the NDIS Act

Exist	ing provision (2013 Rules)	New provision (2021 Rules)	Nature of the change	Specific changes
3.1	People with disability are presumed to have capacity to make decisions that affect their own lives. This is usually the case, and it will not be necessary to appoint a nominee where it is possible to support, and build the capacity of, participants to make their own decisions for the purposes of the NDIS.	14 Purpose of this Part This Part prescribes matters related to appointing nominees.		Removes text boxes
3.2	However, the Act recognises that there may be circumstances where it is necessary for a person to be appointed as a nominee of a participant, and to act on behalf of, or make decisions on behalf of, a participant.			
3.3	Appointments of nominees will be justified only when it is not possible for participants to be assisted to make decisions for themselves. Appointments of nominees usually come about as a result of a participant requesting that a nominee be appointed.			
	It is only in rare and exceptional cases that the CEO will find it necessary to appoint a nominee for a participant who has not requested that an appointment be made. In appointing a nominee in such circumstances, the CEO will have regard to the participant's wishes and the participant's circumstances (including their formal and informal support networks).			
3.5	This Part deals with the issue of whether it is necessary for a nominee to be appointed.			
Туре	s of nominee			
	Under the NDIS, there are 2 types of nominee: a <i>plan nominee</i> and a <i>correspondence nominee</i> . A single person can be appointed as both plan nominee and correspondence nominee. Either type of nominee can be appointed either indefinitely or for a specified term.			
Plan	nominee			
3.7	Usually, a plan nominee is able to do any act that may be done by a participant under, or for the purposes of, the Act, that relates to:			
	(a) the preparation, review or replacement of the participant's plan; or			
	(b) the management of funding for supports under the participant's plan.			
	In some circumstances, it may be appropriate to limit the matters that a plan nominee is appointed to deal with. The CEO is able to specify limitations in the instrument of appointment. For example, the appointment might be restricted so as to prevent the nominee from specifying the goals, objectives and aspirations of the participant. In such a case, the nominee might still have authority with respect to the management of funding under a plan. Alternatively,			

Existing provision (2013 Rules)	New provision (2021 Rules)	Nature of the change	Specific changes
the CEO might appoint 2 or more plan nominees, and, in each instrument of appointment, limit the matters in relation to which each person is the plan nominee.			
Correspondence nominee			
3.9 In contrast, the role of a correspondence nominee is significantly narrower. Although a correspondence nominee is able to do a range of acts on behalf of a participant under the NDIS, they are not able to do any of the acts referred to in paragraph 3.7 above. The acts that a correspondence nominee is able to do include making requests to the Agency (for example, requests for information), and receiving notices from the Agency, on behalf of the participant.			
3.10 The matters the correspondence nominee is able to deal with cannot be limited further by the instrument of appointment.			
Paragraphs 3.1 to 3.10 summarise the operation of sections 78 and 79, and subsection 86(4), of the Act. These sections contain further details relating to actions of nominees.			
How appointment of nominee comes about			
3.11 A plan nominee or a correspondence nominee may be appointed:			
(a) at the request of the participant; or			
(b) on the initiative of the CEO.			
Paragraph 3.11 summarises subsections 86(2) and 87(2) of the Act. The Act contains further provisions relating to the appointment of a nominee, which have not been summarised in these Rules.			
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 Appointment at request of participant 3.12 If the participant has requested that a nominee be appointed, the CEO is to have regard to the principle that a nominee should ordinarily be appointed if the participant requests one. 	 16 Matters to which CEO must have regard in considering appointment of nominee (1) For the purposes of paragraph 88(6)(b) of the Act, this section prescribes matters to which the CEO is to have regard in considering the appointment of a nominee. (2) If the participant has requested that a nominee be appointed, the CEO is to have regard to the principle that a nominee should ordinarily be appointed if requested by the participant. [] 	Replaced – drafting standards	 Provisions have been updated and restructured to make them simpler and clearer. This makes the Rules easier to understand. Clearly identifies the section of the NDIS Act for which the provisions have been made. There is no change to the policy intent. Paragraphs 3.12 to 3.15 of the 2013 Rules have been restructured and included across sections 16 and 17 of the 2021 Rules. Paragraph 3.12 of the 2013 Rules is reflected in paragraph 16(2) of the 2021 Rules.

Existing provision (2013 Rules)	New provision (2021 Rules)	Nature of the change	Specific changes
 3.13 If the participant has requested that a particular person be appointed as nominee, the CEO is to have regard to the following: (a) the principle that the person the participant has requested should ordinarily be appointed; (b) any evidence that indicates that the person might have unduly or improperly induced or influenced the participant to request the appointment; (c) any conflicts of interest. 	17 General matters to which CEO must have regard in appointing nominee For the purposes of section 93 of the Act, in appointing a person (the <i>proposed nominee</i>) as a nominee of a participant, the CEO is to have regard to the following matters: [] (b) if the participant requested that a particular person be appointed as the nominee: (i) the principle that the person the participant has requested should ordinarily be appointed; and (ii) any evidence that the person might have unduly or improperly induced or influenced the participant to request the appointment; and (iii) any conflict of interest that the person would have in relation to the participant if the person were appointed;	Replaced – drafting standards.	 Provisions have been updated and restructured to make them simpler and clearer. This makes the Rules easier to understand. Clearly identifies the section of the NDIS Act for which the provisions have been made. There is no change to the policy intent. Paragraph 3.13 of the 2013 Rules is reflected in paragraph 17(b) of the 2021 Rules.
Appointment without a request from the participant 3.14 If the participant has not requested that a nominee be appointed, the CEO, when deciding whether to appoint a nominee, is to: (a) consult with the participant; and (b) have regard to the following: (i) whether the participant would be able to participate effectively in the NDIS without having a nominee appointed; (ii) the principle that a nominee should be appointed only when necessary, as a last resort, and subject to appropriate safeguards; (iii) whether the participant has a court-appointed decision-maker or a participant-appointed decision-maker; (iv) whether the participant has supportive relationships, friendships or connections with others that could be: (A) relied on or strengthened to assist the participant to make their own decisions; or (B) improved by appointment of an appropriate person as a nominee;	16 Matters to which CEO must have regard in considering appointment of nominee [] (3) If the participant has not requested that a nominee be appointed, the CEO is to have regard to the following matters: (a) whether the participant is capable of requesting the appointment of a nominee; (b) whether the participant is capable of participating effectively in the National Disability Insurance Scheme without a nominee; (c) the principle that a nominee should be appointed only if necessary, as a last resort, and subject to appropriate safeguards; (d) whether the participant has a court-appointed decision-maker or participant-appointed decision-maker; (e) whether the participant has supportive relationships, friendships or connections with others that: (i) could be relied on or strengthened to assist the participant to make the participant's own decisions; or	Replaced – drafting standards	 The provisions of the 2013 have been updated and restructured to make them simpler and clearer. This makes the 2021 Rules easier to understand. Clearly identifies the section of the NDIS Act for which the provisions have been made. There is no change to the policy intent. The intent of paragraphs 3.14(a) and 3.15 of the 2013 Rules is reflected in paragraphs 16(3)(a) and 17(a) of the 2021 Rules. Paragraphs 3.14(b)(i) to 3.14(b)(v) of the 2013 Rules are reflected at paragraphs 16(3)(b) to 16(3)(f) of the 2021 Rules respectively.

Existing provision (2013 Rules)	New provision (2021 Rules)	Nature of the change	Specific changes
(v) any relevant views of: (A) the participant; and (B) any person (including a carer) who assists the participant to manage their day-to-day activities and make decisions; and (C) any court-appointed decision-maker or participant-appointed decision-maker. 3.15 An example of a circumstance in which a nominee might be appointed without a request from the participant is where the CEO considers that the participant needs a nominee, but is unable to request appointment himself or herself, even with support. In such circumstances, the initiative might come from a carer or other person who offers to be the nominee.	(ii) could be improved by the appointment of an appropriate person as a nominee of the participant; (f) any relevant views of: (i) the participant; and (ii) any court-appointed decision-maker or participant-appointed decision-maker for the participant; and (iii) any person, including a carer, who assists the participant to manage the participant's day-to-day activities and to make decisions. 17 General matters to which CEO must have regard in appointing nominee For the purposes of section 93 of the Act, in appointing a person (the proposed nominee) as a nominee of a participant, the CEO is to have regard to the following matters: (a) any expression of the participant's wishes mentioned in paragraph 88(2)(b) of the Act, including: (i) a non-verbal expression; or (ii) an expression to a person other than the CEO that has subsequently been communicated to the CEO;		
Part 4 Who should be appointed as nominee?	Part 3—Appointing nominees	Replaced – drafting standards	Reflects best drafting practice to remove unnecessary
 4.1 This Part deals with the issue of who should be appointed as a nominee. 4.2 Under the NDIS, the CEO is responsible for deciding who should be appointed as a nominee. Persons that cannot be appointed as nominee 4.3 The Act envisages that there are some persons who it would be inappropriate to appoint as a nominee. Paragraphs 4.1 to 4.3 summarise the operation of the Act. 	14 Purpose of this Part This Part prescribes matters related to appointing nominees.		 information summarised from the NDIS Act Removes text boxes
4.4 The following persons must not be appointed as a nominee:(a) a person under 18 years of age;(b) the Agency;	For the purposes of paragraph 88(6)(a) of the Act, the following persons must not be appointed as a nominee: (a) a child;		 Provisions have been made simpler and clearer. This makes the Rules easier to understand. Clearly identifies the section of the NDIS Act for which the provisions have been made. There is no change to the policy intent.

Exist	ing pro	ovision (2013 Rules)	New provision (2021 Rules)	Nature of the change	Specific changes
		iny individual associated with the Agency, other than in their personal capacity.	 (b) the Agency; (c) any of the following persons, other than in a personal capacity: (i) a Board member; (ii) the CEO; (iii) an Agency officer; (iv) a consultant (or contractor) engaged by the Agency to assist in the performance of the Agency's functions; (v) a member of the Advisory Council; (vi) a registered NDIS provider; (vii) a person provided assistance in the form of funding under section 14 of the Act. 		 Paragraphs 4.4(a) to (c) of the 2013 Rules are reflected in paragraphs 15(a) to (c) of the 2021 Rules. Paragraph 15(c) of the 2021 Rules now specifically identifies individuals associated with the Agency. This provides increased clarity.
		ake into account when deciding who to nominee	17 General matters to which CEO must have regard in appointing nominee	Replaced – drafting standards	Reflects best drafting practice to remove unnecessary information summarised from the NDIS Act
4.5		are several matters the CEO is required to	For the purposes of section 93 of the Act, in		Removes text boxes
		to account when deciding whether to appoint cular person as a nominee. These are set out	appointing a person (the proposed nominee) as a nominee of a participant, the CEO is to have regard to the following matters:		The provisions of the 2013 have been updated and restructured to make them simpler and clearer. This makes the 2021 Rules easier to understand.
4.6		EO is to:	(a) any expression of the participant's wishes mentioned in paragraph 88(2)(b) of the		Clearly identifies the section of the NDIS Act for which
		ake into consideration the wishes (if any) of he participant regarding the making of the	Act, including:		the provisions have been made.
		appointment; and	(i) a non-verbal expression; or		There is no change to the policy intent. Paragraphs 4.0(a) and (b) of the 2043 Pulse are
	` '	have regard to those wishes, however they are expressed (for example, a participant might	(ii) an expression to a person other than the CEO that has subsequently been		Paragraphs 4.6(a) and (b) of the 2013 Rules are reflected in paragraph 17(a) of the 2021 Rules
	е	express a wish in a non-verbal manner, or	communicated to the CEO;		Paragraph 4.6(c) of the 2013 Rules is reflected in
		night express a wish to a third party, such as a lisability support worker); and	(b) if the participant requested that a particular person be appointed as the		 paragraphs 17(c) and (l)(i) of the 2021 Rules Paragraph 4.7 of the 2013 Rules are reflected in
	(c) h	ave regard to:	nominee:		 Paragraph 4.7 of the 2013 Rules are reflected in paragraph 17(f) of the 2021 Rules
	(i	appointed decision-maker or a	(i) the principle that the person the participant has requested should		Paragraph 4.8(a) of the 2013 Rules are reflected in paragraph 17(d) of the 2021 Rules
		participant-appointed decision-maker; and	ordinarily be appointed; and (ii) any evidence that the person might		Paragraphs 4.8(b)(i), (iii), (iv) and (v) of the 2013 Rules are reflected in paragraph 17(a) of the 2021 Rules.
	(i	ii) if so—the views of that person.	have unduly or improperly induced or		 are reflected in paragraph 17(e) of the 2021 Rules Paragraph 4.8(b)(ii) of the 2013 Rules is reflected in
Par		s 4.6(a) and 4.6(c)(i) (to the extent that that it	influenced the participant to request the appointment; and		paragraph 17(f) of the 2021 Rules
	rela	ates to a person who has guardianship of the participant) summarise the requirements of	(iii) any conflict of interest that the		 Paragraphs 4.8(c) and (d) of the 2013 Rules are reflected in paragraphs 17(g) and (h) of the 2021
		aph 88(2)(b) and subsection 88(4) of the Act.	person would have in relation to the participant if the person were		Rules respectively.
11	_	aphs 4.6(b), 4.6(c)(i) (other than to the extent relates to a person who has guardianship of	appointed;		Paragraphs 4.8(e) and (f) of the 2013 Rules are reflected in paragraphs 4.7(k) and (f) of the 2024 Rules
11		ant) and 4.6(c)(ii) prescribe further matters to	(c) whether the participant has a participant-appointed decision-maker;		reflected in paragraphs 17(k) and (l) of the 2021 Rules respectively.

Existing provision (2013 Rules)	New provision (2021 Rules)	Nature of the change	Specific changes
which the CEO is to have regard when appointing a nominee.	(d) the principle that, if the participant has a court-appointed decision-maker or participant-appointed decision-maker,		Paragraph 4.8(g) of the 2013 Rules is reflected in paragraph 17(j) of the 2021 Rules.
4.7 The CEO is also to:(a) have regard to whether the person is willing; and	and the powers and responsibilities of that person are comparable with those of a nominee, that person should be appointed as nominee;		Paragraph 4.8(h) of the 2013 Rules is reflected in paragraph 17(i) of the 2021 Rules
(b) consider whether the person is able;	(e) whether the proposed nominee:		
to comply with the duties of a nominee to a participant (these are set out in section 80 of the Act and also Part 5 of these Rules).	(i) knows, and is in a relationship of trust with, the participant; and		
Paragraph 4.7, so far as it relates to paragraph (b), summarises the requirement of subsection 88(3) of the Act.	(ii) understands and is committed to performing the duties of a nominee; and		
4.8 The CEO is also to have regard to the following:	(iii) is sensitive to the cultural and linguistic circumstances of the participant; and		
(a) the presumption that, if the participant has a court-appointed decision-maker or a participant-appointed decision-maker, and the powers and responsibilities of that person are comparable with those of a nominee, that	(iv) is familiar with, and able to work with, any communication system or other technological supports of the participant;		
person should be appointed as nominee; (b) the degree to which the person:	(f) whether the proposed nominee is willing and able to:		
(i) knows, and is in a relationship of trust with, the participant; and	(i) act in conjunction with any other persons who represent, support or		
(ii) is willing and able to:(A) act in conjunction with other	are carers for the participant to maximise the participant's wellbeing; and		
representatives and supporters of, and carers for, the participant to maximise the participant's wellbeing; and	(ii) undertake the kinds of activities that are required to perform the duties of a nominee; and		
(B) undertake the kinds of activities that a nominee is required to	(iii) involve the participant in decision-making processes; and		
undertake in performing their functions under the Act (for	(iv) assist the participant to make the participant's own decisions; and		
example, a plan nominee might be required to enter into contracts on behalf of the participant); and	(v) ascertain what judgements and decisions the participant would have made on the participant's own;		
(C) involve the participant in decision- making processes; and	(g) the desirability of preserving the family relationships and informal support		
(D) assist the participant to make decisions for himself or herself; and	networks of the participant; (h) any existing arrangements between the		
(E) ascertain what judgements and decisions the participant would have made for him or herself; and	proposed nominee and the participant; (i) any conflict of interest that the proposed nominee would have in relation to the		
(iii) understands and is committed to performing the duties of a nominee; and	participant if the proposed nominee were appointed;		
(iv) is sensitive to the cultural and linguistic circumstances of the participant; and	(j) whether the proposed nominee has been convicted of an offence against a law of		

Existing p	provision (2013 Rules)	New provision (2021 Rules)	Nature of the change	Specific changes
	 is familiar with, and able to work with, any communication system or other technological supports of the participant; 	the Commonwealth, a State or a Territory; (k) if the CEO has requested that the proposed nominee answer questions or		
(c)	the desirability of preserving family relationships and informal support networks of the participant;	proposed nominee answer questions of provide information in relation to the proposed appointment, or that the proposed nominee consent to the release		
(d)	any existing arrangements that are in place between the person and the participant;	of information concerning the proposed nominee's criminal history:		
(e)	where the CEO has asked the person to answer any questions or provide any information in relation to the possible	(i) any answers or information provided by the proposed nominee or released to the CEO; and		
	appointment of that person as a nominee (including requesting the person to consent to the release of information concerning their criminal history or to disclose any conflict of	(ii) any refusal or failure by the proposed nominee to comply with the request;(I) any relevant views of:		
	interest in relation to the person and the participant):	(i) any court-appointed decision-maker or participant-appointed		
	(i) any answers or information that have been provided by the person; and	decision-maker for the participant; and		
	(ii) any refusal by the person to provide answers or information;	(ii) any person, including a carer, who assists the participant to manage the		
(f)	any relevant views of:	participant's day-to-day activities and to make decisions; and		
	 carers who assist the participant to manage their day-to-day activities and make decisions; and 	(iii) any other person who supports the participant.		
	(ii) other persons who provide support to the participant;	Note: In relation to paragraph (c), the CEO also must have regard to whether the participant has a court-appointed		
(g)	any relevant conviction for an offence under Commonwealth, State or Territory law;	decision-maker in appointing a nominee: see subsection 88(4) of the		
(h)	any conflict of interest in relation to the person and the participant.	Act.		
Term of a	ppointment of nominee	18 Matters to which CEO must have regard in		Reflects best drafting practice to remove unnecessary
	er the Act, the CEO is able to appoint a plan inee or a correspondence nominee indefinitely,	deciding whether appointment of nominee is to be for a specified term		information summarised from the NDIS Act Removes text boxes
or fo	r a specified term. An appointment that is for a	For the purposes of section 93 of the Act, in		The provisions of the 2013 have been updated and
	eified term can expire either on the expiry of a sified period, or on the occurrence of a specified at.	deciding whether to appoint a nominee for a specified term and what that term is to be, the CEO is to have regard to the following matters:		restructured to make them simpler and clearer. This makes the 2021 Rules easier to understand.
	Paragraph 4.9 summarises the operation of subsections 86(4) and (5) of the Act.	(a) whether the CEO considers that it would be desirable to review the requirement of		Clearly identifies the section of the NDIS Act for which the provisions have been made.
	Subsections ou(4) and (5) of the Act.	the participant for a nominee at a future		There is no change to the policy intent.
deci	following are examples of when the CEO might de that an appointment for a specified term is opriate:	time; (b) whether the CEO considers that any of the following persons is unavailable to		Paragraph 4.10(a) of the 2013 Rules is reflected in paragraph 18(a) of the 2021 Rules.
(a)	the CEO considers that it would be desirable to review the appointment of a nominee after a	perform the duties of a nominee, but is likely to be available at a future time:		Paragraphs 4.10(b) and (c) of the 2013 Rules are reflected in paragraph 18(b) of the 2021 Rules

Existing provision (2013 Rules)	New provision (2021 Rules)	Nature of the change	Specific changes
period to see whether the participant still needs a nominee;	(i) a person the participant wishes to be appointed as nominee;		Paragraphs 4.10(d) and 4.11 of the 2013 Rules are reflected in paragraph 17(c) and (d) of the 2021 Rules
(b) the CEO has cause to believe that an appointed decision-maker could be appointed, and appoints a nominee in the interim;	(ii) a court-appointed decision-maker or participant-appointed decision-maker for the participant;		respectively.
(c) the person that the participant would like as a nominee is presently not in a position to act (for example, they might be overseas or hospitalised), and the CEO appoints a nominee until that person is available;	(c) if the proposed nominee is a court-appointed decision-maker or participant-appointed decision-maker for the participant—whether the appointment as a court-appointed decision-maker or		
(d) the person appointed as nominee is a court- appointed or participant-appointed decision- maker, and the CEO considers it appropriate that the appointment as nominee should lapse	participant-appointed decision-maker will cease at a future time; (d) any relevant views of: (i) the participant; and		
if the appointment as decision-maker lapses.4.11 When appointing a nominee, the CEO is to have regard to the views of:	(ii) any court-appointed decision-maker or participant-appointed decision-maker for the participant;		
(a) the participant; and	and		
(b) any carers who assist the participant to manage their day-to-day activities and make decision; and	(iii) any person, including a carer, who assists the participant to manage the participant's day-to-day activities and		
(c) other persons who provide support to the participant;	to make decisions.		
in deciding:			
(d) whether the appointment should be for a specified term; and			
(e) what that term should be.			
Requirements with which the CEO is to comply when appointing nominee	19 Requirements for appointment of nominee(1) This section is made for the purposes of	Replaced – drafting standards	The provisions of the 2013 have been updated to make them simpler and clearer. This makes the 2021
4.12 The CEO is to consult, in writing, with any court- appointed decision-maker or participant-appointed decision-maker in relation to any appointment.	section 93 of the Act. (2) In appointing a person as a nominee of a participant, the CEO must consult any		 Rules easier to understand. Clearly identifies the section of the NDIS Act for which the provisions have been made.
4.13 If the CEO is deciding whether to appoint as a nominee a person that is a body corporate:	court-appointed decision-maker or participant-appointed decision-maker for the		 There is no change to the policy intent. Paragraph 4.12 of the 2013 Rules is reflected at
(a) the CEO is required to request the person to identify an officer or employee who will be closely involved in performance of the nominee functions under the NDIS; and (b) the rules set out in this Part (other than this	participant. (3) In appointing a body corporate as a nominee of a participant, the CEO must request the body corporate to identify an officer or employee of the body corporate who would be closely		paragraph 19(2) of the 2021 Rules. • Paragraph 4.13 of the 2013 Rules is reflected at paragraphs 19(3) and (4) of the 2021 Rules.
(b) the rules set out in this Part (other than this rule) apply as if references to the person include references to the officer or employee.	involved in the performance of the body corporate's duties as a nominee if the appointment were made.		
	(4) Section 17 applies in relation to a proposed nominee that is a body corporate as if any references to the proposed nominee included a reference to the officer or employee identified by the body corporate.		

Existing provision (2013 Rules)	New provision (2021 Rules)	Nature of the change	Specific changes
Part 5 How nominees are expected to act	Part 2—Duties of nominees	Replaced – drafting standards	Reflects best drafting practice to remove unnecessary
5.1 The Act provides guidance as to how nominees are to act under the NDIS. This guidance applies both to nominees appointed at the request of a participant and nominees appointed on the initiative of the CEO.	8 Purpose of this Part This Part prescribes duties of nominees for the		operational information Removes text boxes
5.2 Nominees have duties to participants under the Act. These duties operate under the NDIS in 3 principal ways:	purposes of subsection 80(4) of the Act.		
(a) these duties, which are stated in general terms, provide guidance as to how nominees are expected to perform in the role of nominee; and			
(b) when appointing a person as a nominee, the CEO is required to consider whether the person is willing and able to comply with these duties; and			
(c) any breach of these duties is a matter to which the CEO is to have regard in cancelling or suspending the appointment of a nominee.			
Paragraphs 5.1 and 5.2 set out background information.			
Duty to ascertain wishes, and promote personal and social wellbeing, of participant			
5.3 A nominee has a duty to:			
(a) ascertain the wishes of the participant; and			
(b) act in a manner that promotes the personal and social wellbeing of the participant.			
5.4 This duty is not breached if the nominee does an act, or refrains from doing an act, so long as:			
 the nominee reasonably believes that they have ascertained the wishes of the participant; and 			
(b) the nominee reasonably believes that doing the act, or not doing the act, will promote the personal and social wellbeing of the participant.			
The duty set out in paragraph 5.3 and the qualification set out in paragraph 5.4 summarise subsections 80(1), (2) and (3) of the Act.			
Plan nominee to act only if participant not capable			
5.5 A plan nominee appointed on the initiative of the CEO is able to do an act on behalf of the participant only if the nominee considers that the participant is not capable of doing the act.			

Existing provision (2013 Rules)	New provision (2021 Rules)	Nature of the change	Specific changes
Paragraph 5.5 summarises subsection 78(5) of the Act. This is not described as a duty under the Act.			
 5.6 A plan nominee appointed at the request of the participant has a duty to refrain from doing an act unless satisfied that: (a) it is not possible for the participant to do, or to be supported to do, the act himself or herself; or (b) it is possible for the participant to do the act himself or herself, but the participant does not want to do the act himself or herself. 5.7 This is not intended to affect any obligations or restrictions that impact on a plan nominee and which apply under State or Territory law (including obligations or restrictions that impact on them in their capacity as a court-appointed decision-maker or a participant-appointed decision-maker). See also section 207 of the Act, which deals with the concurrent operation of State and Territory laws with the Act. 	If a plan nominees If a plan nominee is appointed at the request of a participant, the nominee has a duty to only do an act in relation to: (a) the preparation, variation, reassessment or replacement of the participant's plan; or (b) the management of the funding for supports under the participant's plan; if the nominee considers that: (c) the participant is not capable of doing, or being supported to do, the act; or (d) the participant does not wish to do the act. Note 1: Similar obligations apply under subsection 78(5) of the Act in relation to plan nominees who were appointed on the initiative of the CEO. Note 2: This section is not intended to affect any other obligations or restrictions on a plan nominee under a law of a State or Territory, for example if the plan nominee is a court-appointed decision-maker or participant-appointed decision-maker: see section 207 of the Act (concurrent operation of State laws).	Replaced – drafting standards	Reflects best drafting practice to remove unnecessary information summarised from the NDIS Act Removes text boxes Removes text boxes
 Duty to consult 5.8 A nominee has a duty to consult with the following in relation to doing acts under, or for the purposes of, the Act: (a) any court-appointed decision-maker or any participant-appointed decision-maker; (b) any other person who assists the participant to manage their day-to-day activities and make decisions (for example, a person who cares for the participant). 5.9 If more than 1 person is appointed as plan nominee, a further duty of each of them is to consult with the others before doing any act under, or for the purposes of, the Act. 	 9 Duty to consult (1) In relation to doing acts under, or for the purposes of, the Act, a participant's nominee has a duty to consult with: (a) any other person who is a court-appointed decision-maker or participant-appointed decision-maker for the participant; and (b) any other person, including a carer, who assists the participant to manage the participant's day-to-day activities and to make decisions. (2) If more than one person is appointed as a plan nominee of a participant, each plan nominee has a duty to consult with the other plan 	No change	Slight change in formatting and language structure

Existing provision (2013 Rules)	New provision (2021 Rules)	Nature of the change	Specific changes
	nominees before doing an act under, or for the purposes of, the Act.		
Duty to develop capacity of participant	10 Duty to develop participant's capacity	No change	Slight change in formatting and language structure
5.10 A nominee has a duty to apply their best endeavours to developing the capacity of the participant to make their own decisions, where possible to a point where a nominee is no longer necessary.	A participant's nominee has a duty to use the nominee's best endeavours: (a) to develop the participant's capacity to make the participant's own decisions; and		
5.11 It is expected that the Agency will assist nominees in fulfilling this duty.	(b) to the extent possible, to develop that capacity so that the participant no longer requires a nominee.		
Duty to avoid or manage conflicts of interest	11 Duty to avoid or manage conflicts of interest	Replaced – drafting standards	The provisions of the 2013 have been updated and restrictured to make them simpler and clearer. This
5.12 A nominee has a duty to the participant to:	A participant's nominee has a duty:		restructured to make them simpler and clearer. This makes the 2021 Rules easier to understand.
(a) avoid or manage any conflict of interest in relation to the nominee and the participant; and	(a) to avoid any conflict of interest the nominee has, or could have, or to		Paragraph 5.12 of the 2013 Rules is reflected in paragraph 11 of the 2021 Rules.
(b) inform the CEO of any such conflict of interest as it arises.	manage any conflict of interest the nominee has, in relation to the participant; and		Paragraph 5.13 is not required because paragraph 7 defines conflict of interest.
5.13 Without limiting paragraph 5.12, a conflict arises if the nominee is, in a professional or administrative	(b) to inform the CEO if a conflict of interest in relation to the participant arises.		defines conflict of interest.
capacity, directly or indirectly responsible for, or involved in, the provision of any services for fee or	7 Meaning of conflict of interest		
reward to the participant.	(1) A nominee has a conflict of interest in relation to a participant if the nominee has, acquires, or is likely to acquire, any interest, pecuniary or otherwise, that conflicts or could conflict with the performance of the nominee's duties in relation to the participant.		
	(2) Without limiting subsection (1), a nominee has a conflict of interest in relation to a participant if the nominee is, other than in a personal capacity, directly or indirectly responsible for, or involved in, the provision of a service to the participant for a fee or other reward.		
	(3) If the nominee is a body corporate, the interests of the nominee include the interests of any officer or employee of the nominee who is closely involved in the performance of the nominee's duties in relation to the participant.		
Duty for corporate nominee to inform CEO if person closely involved in performance of nominee functions changes	13 Duty of corporate nominees (1) If a participant's nominee is a body corporate, the nominee has a duty:	No change	Slight change in formatting and language structure
5.14 A nominee that is a body corporate has a duty, in relation to each participant in respect of which the person is the nominee, to:	(a) to ensure that an officer or employee (the designated individual) of the nominee is closely involved in the performance of the		

Existing provision (2013 Rules)	New provision (2021 Rules)	Nature of the change	Specific changes
(a) ensure that there is an officer or employee who is closely involved in performance of the nominee functions under the NDIS; and (b) inform the CEO of who that officer or employee is (including if a different officer or employee takes on that responsibility).	nominee's duties in relation to the participant; and (b) to inform the CEO of the designated individual's name and contact details; and		
Part 6 Suspension and cancellation of appointment of nominee	Part 4—Cancelling or suspending nominee appointments	Replaced – drafting standards	Reflects best drafting practice to remove unnecessary operational information
6.1 Under the Act, there are several situations in which the CEO is able, or is required, to suspend or cancel the appointment of a nominee.	20 Purpose of this Part		Removes text boxes
6.2 The CEO is required to cancel an appointment if:	This Part prescribes matters related to cancelling or suspending appointments of		
(a) the nominee was appointed at the request of the participant, and the participant requests the CEO to cancel the appointment; or	nominees.		
(b) the nominee informs the CEO that they no longer wish to be a nominee.			
6.3 The CEO is able, but not required, to cancel an appointment if the nominee was appointed on the initiative of the CEO, and the participant requests the CEO to cancel the appointment.			
6.4 The CEO is able, but not required, to cancel or suspend the appointment of a nominee if:			
(a) the ability of the person to act as nominee becomes compromised; or			
(b) the CEO has reasonable grounds to believe that the nominee has caused, or is likely to cause, physical, mental or financial harm to the participant.			

Existing provision (2013 Rules)	New provision (2021 Rules)	Nature of the change	Specific changes
Paragraphs 6.1 to 6.4 summarise sections 89, 90 and 91 of the Act. The provisions in the Act contain further details of the grounds of suspension and cancellation, and should be consulted where appropriate. The Act specifies a number of detailed procedural and other requirements that must be followed for these grounds of cancellation or suspension to be relied on.			
 6.5 When cancelling or suspending the appointment of a nominee in the situations described in paragraph 6.3 or paragraph 6.4, the CEO is to have regard to the following: (a) any breach of a duty of the nominee to the participant under the Act or these Rules; (b) the previous conduct of the nominee in relation to the participant; (c) the results of any review of the participant's plan; (d) the views of the participant, and of any person who cares for or supports the participant; (e) the impact on the participant of any cancellation or suspension of appointment; (f) whether the nominee has been convicted of a criminal offence that is reasonably likely to compromise the ability of the person to act as nominee; (g) whether the participant still needs a nominee, having regard to the considerations mentioned in paragraph 3.14(b). 	21 Matters to which CEO must have regard in making certain decisions to cancel or suspend nominee appointments For the purposes of section 93 of the Act, in deciding whether to cancel or suspend the appointment of a nominee of a participant, the CEO is to have regard to the following matters: (a) any relevant views of: (i) the participant; and (ii) any court-appointed decision-maker or participant-appointed decision-maker for the participant; and (iii) any person, including a carer, who assists the participant to manage the participant's day-to-day activities and to make decisions; (b) the conduct of the nominee, and any breach of the nominee's duties, in relation to the participant; (c) whether the nominee is, and is likely to continue to be, able to perform the duties of a nominee in relation to the participant; (d) whether the nominee has been convicted of an offence against a law of the Commonwealth, or a State or Territory, and if so, whether that conviction is reasonably likely to affect the ability of the nominee to perform the nominee's duties; (e) the results of any variation or reassessment of the participant's plan; (f) the impact that the cancellation or suspension of the appointment would have on the participant; (g) whether the participant still requires a nominee and, in particular: (i) whether the participant would be capable of participating effectively in	Repealed – drafting standards	 The provisions of the 2013 have been updated and ordered to make them simpler and clearer. This makes the 2021 Rules easier to understand. Paragraphs 6.5(a) and (b) of the 2013 Rules are reflected in paragraph 21(b) of the 2021 Rules Paragraph 6.5(c) of the 2013 Rules is reflected in paragraph 21(e) of the 2021 Rules Paragraph 6.5(d) of the 2013 Rules is reflected in paragraph 21(a) of the 2021 Rules Paragraph 6.5(e) of the 2013 Rules is reflected in paragraph 21(f) of the 2021 Rules Paragraph 6.5(f) of the 2013 Rules is reflected in paragraph 21(d) of the 2021 Rules Paragraph 6.5(c) of the 2013 Rules is reflected in paragraph 21(e) of the 2021 Rules Paragraph 6.5(g) of the 2013 Rules is reflected in paragraph 21(g) of the 2021 Rules Although paragraph 21(c) in the 2021 Rules is new, it does not reflect a change in policy intent. Rather it has been included as a logical consideration regarding continuation as it is a consideration for appointment as a nominee.

Exist	ting provision (2013 Rules)	New provision (2021 Rules)	Nature of the change	Specific changes
		the National Disability Insurance Scheme without a nominee; and		
		(ii) whether the participant has a court-appointed decision-maker or participant-appointed decision-maker; and		
		(iii) whether the participant has supportive relationships, friendships or connections with others that could be relied on or strengthened to assist the participant to make the participant's own decisions.		
Citat	ion	1 Name		•
7.1	These Rules may be cited as the <i>National Disability Insurance Scheme (Nominees) Rules 2013.</i>	This instrument is the National Disability Insurance Scheme (Nominees) Rules 2021.		
Inter	pretation	6 Definitions	Replaced – drafting standards	Reflects best drafting practice
7.2	These Rules include text that summarises provisions of the Act. The boxed notes identify such text, which does not form an operative part of these Rules.	Note: A number of expressions used in this instrument are defined in the Act, including the following: (a) Agency; (b) CEO;		
7.3	Terms and expressions that are used in the Act have the same meaning in these Rules unless these Rules display a contrary intention—see the Acts Interpretation Act 1901 and the Legislative Instruments Act 2003, which include definitions and rules of interpretation that apply to all Commonwealth legislation. For convenience, the more important definitions from the Act are identified	(c) child; (d) National Disability Insurance Scheme; (e) nominee; (f) participant; (g) plan nominee. In this instrument:		
	or reproduced in paragraph 7.4.	Act means the National Disability Insurance Scheme Act 2013.		
7.4	In these Rules:	conflict of interest: see section 7.		
	Act means the National Disability Insurance Scheme Act 2013.	court-appointed decision-maker for a		
	Agency—see section 9 of the Act.	participant means a person who, under a law of the Commonwealth, a State or a Territory:		
	court-appointed decision-maker: a person is a court-appointed decision-maker in relation to a participant if the person, under a law of the Commonwealth, a State or a Territory:	(a)has guardianship of the participant; or(b)is a person appointed by a court, tribunal, board or panel (however described) who has		
	(a) has guardianship of the participant; or	power to make decisions for the participant and whose responsibilities in relation to the		
	(b) is a person appointed by a court, tribunal, board or panel (however described) who has power to make decisions for the participant and whose responsibilities in relation to the	participant are relevant to the duties of a nominee. participant-appointed decision-maker for a participant means a person:		
	participant are relevant to the duties of a nominee.	(a) who has entered into a formal arrangement		
A	court-appointed decision-maker is a person referred to in paragraph 88(4)(a) or (b) of the Act.	with the participant under which the person		

Existing provision (2013 Rules)	New provision (2021 Rules)	Nature of the change	Specific changes
CEO—see section 9 of the Act.	has the power to make decisions for the participant; and		
conflict of interest , in relation to a person and a participant, means any conflict between:	(b) whose responsibilities in relation to the participant are relevant to the duties of a		
(a) the interests of:	nominee.		
(i) the person; or	Note: Examples of such an arrangement		
(ii) in the case of a person that is a body corporate—any officer or employee of the person who is closely involved in performance of the nominee functions	might include a power of attorney, an advance health directive or an appointment as an enduring guardian under a law of a State or Territory.		
under the NDIS (see paragraph 4.13(a));	proposed nominee: see section 17.		
and	7 Meaning of conflict of interest		
(b) the interests of the participant;	(1) A nominee has a <i>conflict of interest</i> in		
that would impact on the person's ability to carry out their role as nominee.	relation to a participant if the nominee has, acquires, or is likely to acquire, any interest,		
correspondence nominee—see section 9 of the Act	pecuniary or otherwise, that conflicts or could conflict with the performance of the nominee's		
NDIS means the National Disability Insurance Scheme (see section 9 of the Act).	duties in relation to the participant.		
nominee—see section 9 of the Act.	(2) Without limiting subsection (1), a nominee has a conflict of interest in relation to a		
participant—see section 9 of the Act.	participant if the nominee is, other than in a		
participant-appointed decision-maker: a person is a participant-appointed decision-maker in relation to a participant if the participant has entered into a	personal capacity, directly or indirectly responsible for, or involved in, the provision of a service to the participant for a fee or other reward.		
formal arrangement with the person under which the person is able to make a decision on the participant's behalf (for example, a power of attorney, an advance health directive or appointment as an enduring guardian under State or Territory law).	(3) If the nominee is a body corporate, the interests of the nominee include the interests of any officer or employee of the nominee who is closely involved in the performance of the nominee's duties in relation to the participant.		
plan nominee—see section 9 of the Act.	manus of addition to also participanti		